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**Mohamed Boudiaf university of Msila**  
**Faculty of Mathematics and computer sciences**  
**Department of Mathematics**

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*The ideal of  $\sigma(p)$ -nuclear operators*

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**Presented by :**

*Ben tarcha SAMRA*

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**Before the jury composed of :**

L.MEZRAG	Prof	University of Msila	<b>President.</b>
D.ACHOUR	Prof	University de Msila	<b>Supervisor.</b>
A.ALOUNI	MCB	University de Msila	<b>Co-Supervisor.</b>
A.TALLAB	MCA	University de Msila	<b>Examiner.</b>

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**Notations**

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$\mathcal{L}(X, Y)$	The space of all bounded linear operators from $X$ to $Y$ .
$\mathbb{R}_+$	The field of non negative real numbers
$E^*$	The topological dual of $E$
$p^*$	The conjugate of the number $p$ ( $1 \leq p \leq \infty$ ), that is $\frac{1}{p} + \frac{1}{p^*} = 1$
$\mathbb{K}$	The field of real or complex numbers.
$\mathcal{K}(X, Y)$	The space of all compact operators from $X$ to $Y$ .
$\mathcal{L}_f(X, Y)$	The space of all finite-rank operators from $X$ to $Y$ .
$\ell_\infty(B_{X^*})$	The Banach spaces of all bounded scalar families $(\lambda_{x^*})$ where $x^* \in B_{X^*}$ .
$I_X$	The natural isometry $J : X \longrightarrow \ell_\infty(B_{X^*})$ is defined as $J(x) = (x^*(x))_{x^* \in B_{X^*}}$ .
$\ell_\infty$	The Banach space of bounded scalar sequences.
$c_0$	The subspace of $\ell_\infty$ consisting of the scalar sequences $(x_n)_n$ such that $\lim x_n = 0$ .
$\ell_p$	The Banach space of $p$ -summable scalar sequences.
$T^*$	The adjoint linear operator of $T$ .
$\mathcal{N}_\sigma$	The set of all $\sigma$ -nuclear linear operators.
$\mathcal{N}_{\sigma(p)}$	The set of all $\sigma(p)$ -nuclear linear operators ( $1 \leq p < \infty$ )
$\ell_1(B_X)$	The Banach spaces of all absolutely summable scalar families $(\lambda_x)$ where $x \in B_X$ .
$Q_X$	The natural surjection $Q_X : \ell_1(B_X) \rightarrow X$ is defined as $Q_X(\lambda_x)_{x \in B_X} = \sum_{x \in B_X} \lambda_x x$ .

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# Introduction

The notion of ideal of linear operators between Banach spaces is due to Pietsch [17]. Nowadays, ideal operators are explored by several authors in many and diverse directions (see, for instance, [2, 3, 4, 6, 7], [18] and [16]). Among such ideals one can find the ideal of  $\sigma$ -nuclear operators (see, [17, Chapter 23]). This notion has been recently revisited in [13]. Kim and Yung defined a new tensor norm ( $\sigma$ -tensor norm) and show that it is associated with the ideal of  $\sigma$ -nuclear operators. It was shown [13], that the surjective hull and the injective hull of  $\sigma$ -nuclear linear operators coincide with the ideal of compact operators. In [8] the concept of  $\sigma$ -nuclear linear operators was generalized to  $\sigma(p)$ -nuclear linear for  $p \geq 1$ . Moreover, the concept of Lipschitz  $\sigma(p)$ -nuclear defined between a pointed metric space and a Banach space was introduced in [5], extending the  $\sigma(p)$ -nuclear linear operators. In this memory, we are going to do a detail study on the paper " Spaces of (p)-nuclear linear and multilinear operators and their duals " by Geraldo. Botelho and Ximena Mujica.

In the first Chapter is an overview of notions and basic concepts and results needed in the following chapters. These include vector-valued sequence spaces, also operator ideals and we describe the ideal of compact operators.

In the second Chapter we define the set  $\mathcal{N}_\sigma$  of all  $\sigma$ -nuclear operators. We give some characterizations of this concept in term of factorization and we prove that  $\mathcal{N}_\sigma^{sur}$  surjective hull and  $\mathcal{N}_\sigma^{inj}$  injective hull of  $\sigma$ -nuclear equal to  $\mathcal{K}$  (ideal of compact operators).

In the last Chapter we study the  $\sigma(p)$ -nuclear operators between Banach spaces. We prove that the class of  $\sigma(p)$ -nuclear linear operators is a Banach ideal. We give, under usual conditions on the underlying spaces, a simpler formula for the  $\sigma(p)$ -nuclear norm of a finite type operator. Also, we obtain a factorization of operators belonging to  $\overline{\mathcal{L}_f}$ . At the end of this chapter, we present an (incomplete) contribution of some properties of the

injective and surjective hulls of the Banach operator ideal of  $\sigma(p)$ -nuclear operators. In [6], Ain, Lillemets and Oja they defined  $(p, r)$ -compact operators in an obvious way: a linear operator  $T : E \rightarrow F$  is  $(p, r)$ -compact if  $T(B_E)$  is a relatively  $(p, r)$ -compact subset of  $F$ . We show, that  $\mathcal{K}_{(p,r)}$  ideal of  $(p, r)$ -compact operators is included in the  $\mathcal{N}_{\sigma(q^*)}^{sur}$ , where  $\frac{1}{q^*} = \frac{1}{p} + \frac{1}{r}$ .

The memory is based on papers [17], [14] and [8].

# Chapter 1

## Preliminaries

In this chapter we present a collection of some definitions, properties and basic formulas that will benefit us during this work (see [1], [10] and [17]). For example absolutely  $p$ -summable sequence, unconditionally  $p$ -summable sequences and operator ideals with some examples. We will write  $\mathbb{K}$  for the real numbers field  $\mathbb{R}$  or the complex numbers field  $\mathbb{C}$ . The set of all natural numbers  $\{0, 1, \dots\}$  is denoted by  $\mathbb{N}$ . Along this work the letters  $X, Y, E$  and  $F$  denotes Banach spaces with the norm  $\|\cdot\|$ . The closed unit ball of  $X$  is denoted by  $B_X$  that is the set  $\{x \in X : \|x\| \leq 1\}$ . The set of all *functionals* of a normed space  $X$  (that is the continuous linear mapping from  $X$  into the scalars ) is a Banach space denoted by  $X^*$  and called the *topological dual* of  $X$ . For  $x \in X$  we shall write  $\langle x, x^* \rangle$  or  $\langle x^*, x \rangle$  for the action of the functional  $x^*$  on  $x$  ( $x^*(x)$ ). The norm of  $x^* \in X^*$  is

$$\|x^*\| = \sup\{|\langle x, x^* \rangle| : x \in B_X\}.$$

We denote by  $\mathcal{L}(X, Y)$  the Banach space of all continuous linear operators between  $X$  and  $Y$  with the norm

$$\|T\| = \sup_{x \in B_X} \|T(x)\|.$$

We write  $\mathcal{L}(X)$  instead of  $\mathcal{L}(X, X)$ . If  $T \in \mathcal{L}(X, Y)$ , the continuous linear operator  $T^* : Y^* \longrightarrow X^*$  defined as

$$T^*(y^*)(x) = y^*(T(x)),$$

for every  $y^* \in Y^*$  and  $x \in X$  is called the *adjoint operator of  $T$*  with  $\|T\| = \|T^*\|$ .

## 1.1 Absolutely $p$ -summable sequence

Let  $1 \leq p \leq \infty$ . The classical Banach sequence spaces  $\ell_p$ ,  $\ell_\infty$  and  $c_0$  are define by

- $\ell_p = \left\{ (x_n)_n \subset \mathbb{K}: \|(x_n)_n\|_p = \left( \sum_{n=1}^{\infty} |x_n|^p \right)^{\frac{1}{p}} < \infty \right\}, 0 \leq p < \infty.$
- $\ell_\infty = \left\{ (x_n)_n \subset \mathbb{K}: \|(x_n)_n\|_\infty = \sup_{n \in \mathbb{N}} |x_n| < \infty \right\}, p = \infty.$
- $c_0 = \left\{ (x_n)_n \subset \mathbb{K}: \lim_{n \rightarrow \infty} |x_n| = 0 \right\}.$

For  $p > 1$ , we note  $p^*$  the conjugate of  $p$  defined by the formula  $\frac{1}{p} + \frac{1}{p^*} = 1$ . We pose  $p^* = \infty$  si  $p = 1$ .

The following fact is well known, which is discussed in [10, 1].

**Definition 1.1.1** 1. A sequence  $(x_n)_n$ , of elements of  $X$  is said to be absolutely  $p$ -summable if

$$\|(x_n)_n\|_p = \begin{cases} \left( \sum_n \|x_n\|^p \right)^{\frac{1}{p}} < \infty & , \text{ if } 1 \leq p < \infty \\ \sup_n \|x_n\| < \infty & , \text{ if } p = \infty \end{cases}.$$

When  $p = 1$ , it is said that  $(x_n)_n$  is absolutely summable. We denote by  $\ell_p(X)$  the vector space of all absolutely  $p$ -summable sequences of elements of  $X$ .  $(\ell_p(X), \|\cdot\|_p)$  is a Banach space.

2. The spaces  $c_0(X)$  of norm null sequences in  $X$  is Branch spaces with the norm given by

$$\|(x_n)_n\|_\infty = \sup_n \|x_n\|.$$

3. A sequence  $(x_n)_n$  in  $X$  is said to be weakly  $p$ -summable if

$$\sum_{n=1}^{\infty} |x^*(x_n)|^p < \infty,$$

for every  $x^* \in B_{X^*}$ . We denoted by  $\ell_p^w(X)$  the Banach spaces of weakly  $p$ - summable sequence in  $X$  becomes a Banach spaces when equipped with the norm given by

$$\|(x_n)_n\|_p^w = \sup \left\{ \left( \sum_{n=1}^{\infty} |x^*(x_n)|^p \right)^{\frac{1}{p}} : x^* \in B_{X^*} \right\}.$$

**Remark 1.1.1** *In the case  $p = \infty$ , then the spaces  $\ell_\infty^w(X)$  of weakly bounded sequences coincide with the spaces  $\ell_\infty(X)$ ,*

$$\|(x_n)_n\|_\infty^w = \|(x_n)_n\|_\infty.$$

## 1.2 Unconditionally $p$ -summable sequences

We recall the notion of unconditionally  $p$ -summable sequences.

**Definition 1.2.1** *Let  $1 \leq p \leq \infty$ . A sequence  $(x_n)_n \in X$  is said to be unconditionally  $p$ -summable if  $(x_n)_n \in \ell_p^w(X)$  and*

$$\lim_{k \rightarrow \infty} \|(x_n)_{n=k}^\infty\|_{p,w} = 0$$

and we denote by

$$\ell_p^u(X) = \left\{ (x_n)_n \in \ell_p^w(X) : \lim_{k \rightarrow \infty} \|(x_n)_{n=k}^\infty\|_{p,w} = 0 \right\}.$$

**Definition 1.2.2** *A sequence  $(x_i)_{i=n}^\infty$  in  $X$  is said unconditionally summable if, for all bijection  $\eta : \mathbb{N} \rightarrow \mathbb{N}$ , the series  $\sum_{i=1}^\infty x_{\eta(i)}$  is convergent in  $X$ .*

**Proposition 1.2.1** *[9, Proposition 8.3] A sequence  $(x_i)_{i=n}^\infty$  in  $X$  is said unconditionally summable if and only if, belongs to  $\ell_1^u(X)$ .*

**Proposition 1.2.2**  *$\ell_p^u(X)$  is a closed subset of  $\ell_p^w(X)$  and therefore it is a Banach space, with the norm  $\|\cdot\|_{p,w}$ .*

**Proposition 1.2.3** *Let  $1 \leq p < \infty$ . Then  $\ell_p(X) \subseteq \ell_p^u(X) \subseteq c_0(X)$ .*

## 1.3 Operator ideals

In this section, we recall some basic facts and properties about operator ideals. We also recall some of the classical examples.

Recall that a linear operator  $T \in \mathcal{L}(X, Y)$  is said to have finite rank if  $T(X)$  is a finite dimensional subspace of  $Y$ . The class of all finite rank linear operators between Banach

spaces is denoted by  $\mathcal{L}_f(X, Y)$ . One can readily see that an operator  $T \in \mathcal{L}(X, Y)$  has finite rank if, and only if, there exist  $(x_i^*)_{i=1}^n \subset X^*$  and  $(y_i)_{i=1}^n \subset Y$  such that

$$T(x) = \sum_{i=1}^n x_i^*(x)y_i,$$

for every  $x \in X$ .

Let us recall the definition of a Banach operator ideal, from [17] (see also [10]).

**Definition 1.3.1** *An operator ideal  $\mathcal{I}$  is a subclass of the class  $\mathcal{L}$  of all continuous linear operators between Banach spaces such that for all Banach spaces  $X$  and  $Y$  its components  $\mathcal{I}(X, Y) := \mathcal{L}(X, Y) \cap \mathcal{I}$  satisfy*

(i)  $\mathcal{I}(X, Y)$  is a linear subspace of  $\mathcal{L}(X, Y)$  which contains the finite rank operators.

(ii) *The ideal property: if  $v \in \mathcal{L}(G, X)$ ,  $u \in \mathcal{I}(X, Y)$  and  $w \in \mathcal{L}(Y, H)$ , then the composition  $w \circ u \circ v$  is in  $\mathcal{I}(G, H)$ .*

If  $\|\cdot\|_{\mathcal{I}} : \mathcal{I} \rightarrow \mathbb{R}^+$  satisfies

(i')  $(\mathcal{I}(X, Y), \|\cdot\|_{\mathcal{I}})$  is a normed (Banach) space for all Banach spaces  $X$  and  $Y$ .

(ii')  $\|id_{\mathbb{K}}\|_{\mathcal{I}} = 1, id_{\mathbb{K}} : \mathbb{K} \rightarrow \mathbb{K}, id_{\mathbb{K}}(\lambda) = \lambda$ .

(iii')  $\|w \circ u \circ v\|_{\mathcal{I}} \leq \|w\| \|v\|_{\mathcal{I}} \|u\|$ .

Then  $(\mathcal{I}, \|\cdot\|_{\mathcal{I}})$  is called a normed (Banach) operator ideal.

The operator ideal  $\mathcal{I}$  is said to be *closed* if each  $\mathcal{I}(X, Y)$  is a closed subspace of  $\mathcal{L}(X, Y)$  for the sup norm.

**Theorem 1.3.1** *Let  $\mathcal{I}$  be a subclass of  $\mathcal{L}(X, Y)$  endowed with a non-negative function  $\|\cdot\|_{\mathcal{I}} : \mathcal{I} \rightarrow \mathbb{R}^+$ . For Banach spaces  $X, Y$ , define*

$$\mathcal{I}(E, F) := \mathcal{I} \cap \mathcal{L}(X, Y).$$

Then  $(\mathcal{I}, \|\cdot\|_{\mathcal{I}})$  is a Banach ideal of linear operators if and only if the following conditions hold :

(i) The linear operator  $id_{\mathbb{K}} : \mathbb{K} \rightarrow \mathbb{K}, id_{\mathbb{K}}(\lambda) = \lambda$ , belongs to  $\mathcal{I}$  and  $\|id_{\mathbb{K}}\|_{\mathcal{I}} = 1$ .

(ii) If  $S_1, S_2, \dots \in \mathcal{I}(E, F)$  and  $\sum_{k=1}^{\infty} \|S_k\|_{\mathcal{I}} < \infty$ , then  $S = \sum_{k=1}^{\infty} S_k \in \mathcal{I}(X, Y)$  and  $\|S\|_{\mathcal{I}} \leq \sum_{k=1}^{\infty} \|S_k\|_{\mathcal{I}}$ .

(iii) If  $T \in \mathcal{L}(G, X)$ ,  $S \in \mathcal{I}(X, Y)$  and  $R \in \mathcal{L}(Y, H)$ , then  $R \circ S \circ T \in \mathcal{I}(G, H)$  and  $\|R \circ S \circ T\|_{\mathcal{I}} \leq \|R\| \|S\|_{\mathcal{I}} \|T\|$ .

Every Banach ideal  $\mathcal{I}$  of linear operators contains the finite type linear operators

$$\|x(\cdot)b\|_{\mathcal{I}} = \|x\| \|b\|.$$

**Corollary 1.3.1** *If  $S \in \mathcal{I}(X, Y)$ , so  $\|S\| \leq \|S\|_{\mathcal{I}}$ .*

**Proof.** We have for  $x \in X, y^* \in Y^*$  and  $S \in \mathcal{I}(X, Y)$

$$\begin{aligned} |(y^* \circ S)(x)| &= |(y^* \circ S)(x)| \|id_{\mathbb{K}}\|_{\mathcal{I}} = \|(y^* \circ T)(x) \cdot id_{\mathbb{K}}\|_{\mathcal{I}} \\ &= \|y^* \circ S \circ R_x\|_{\mathcal{I}} \leq \|y^*\| \|S\|_{\mathcal{I}} \|R_x\| \end{aligned}$$

where  $R_x : \mathbb{K} \rightarrow X : R_x(\lambda) = \lambda x$  and  $\|R_x\| = \|x\|$ .

So,

$$\begin{aligned} \|S\| &= \sup_{\|x\| \leq 1} \|S(x)\|_Y \\ &= \sup_{\|x\| \leq 1, \|y^*\| \leq 1} |y^*(S(x))| \\ &\leq \sup_{\|x\| \leq 1, \|y^*\| \leq 1} \|y^*\| \|S\|_{\mathcal{I}} \|R_x\| \\ &= \|S\|_{\mathcal{I}}. \end{aligned}$$

■

### 1.3.1 Examples

We now give a list of examples.

$\mathcal{L}$ : Ideal of continuous operators;

$\mathcal{L}_f$ : Ideal of finite rank operators;

$\bar{\mathcal{I}}$ : The closure (with the usual operator norm) of an operator ideal  $\mathcal{I}$ ;

**Approximable operators.** An operator  $T \in \mathcal{L}(X, Y)$  is called approximable operators if there are  $T_n \in \mathcal{L}_f(X, Y)$ , with

$$\lim_n \|T - T_n\| = 0.$$

We denote by  $\overline{\mathcal{L}_f(X, Y)}$  the ideal space of all approximable operators from  $X$  to  $Y$ .

**Compact linear operators.** A linear operator  $T \in \mathcal{L}(X, Y)$  is compact if

$$T(B_X) \text{ is relatively compact in } Y.$$

We denote by  $\mathcal{K}(X, Y)$  the space of all compact operators between  $X$  and  $Y$ . If we provide  $\mathcal{K}(X, Y)$  of the induced norm of  $\mathcal{L}(X, Y)$ , it becomes a Banach ideal.

### 1.3.2 The convex hull subset

**Definition 1.3.2** *The convex hull of a sequence  $(x_n)_n \in c_0(X)$  is defined as*

$$\text{conv}\{(x_n)_n\} = \left\{ \sum_{n=1}^{\infty} \alpha_n x_n : (\alpha_n)_n \in B_{\ell_1} \right\}$$

**Definition 1.3.3** *Let  $1 \leq p \leq \infty$ . The  $p$ -convex hull of a sequence  $(x_n)_n \in \ell_p(X)$  is defined as*

$$p\text{-conv}\{(x_n)_n\} = \left\{ \sum_{n=1}^{\infty} \alpha_n x_n : (\alpha_n)_n \in B_{\ell_{p^*}} \right\}.$$

**Lemma 1.3.1** [11] *A subset  $K$  of a Banach space  $X$  is relatively compact if and only if for every  $\epsilon > 0$ , there exists  $(x_n)_n \in c_0(X)$  with  $\sup_{j \in \mathbb{N}} \|x_j\| \leq (1 + \epsilon) \sup_{x \in K} \|x\|$  such that*

$$K \subset \text{conv}\{(x_n)_n\}.$$

**Proposition 1.3.1** *Let  $K \subset \ell_p$ . The set  $A$  is relatively compact iff there exists  $\lambda = (\lambda_n)_n \in c_0$  such that*

$$K \subset \left\{ \sum_n \lambda_n d_n e_n : d \in B_{\ell_p} \right\}.$$

# Chapter 2

## The ideal of $\sigma$ -nuclear linear operators

The theory of  $\sigma$ -nuclear linear operators on Banach spaces was introduced and developed by Pietsch [17, Chapter 23]. This notion has been recently revisited in [13]. Kim and Yung defined a new tensor norm ( $\sigma$ -tensor norm) and show that it is associated with the ideal of  $\sigma$ -nuclear operators. It was shown in [13], that the surjective hull and the injective hull of  $\sigma$ -nuclear linear operators coincide with the ideal of compact operators.

### 2.1 $\sigma$ -nuclear linear operators

By [17], we have the following definition

**Definition 2.1.1** *Let  $E, F$  be a Banach space and  $T : E \rightarrow F$  be a bounded linear operator we say that  $T$  is  $\sigma$ -nuclear operator if  $T = \sum_{j=1}^{\infty} x_j^* \otimes y_j$  with  $(x_j^*)_{j=1}^{\infty} \subset E^*$  and  $(y_j)_{j=1}^{\infty} \subset F$  such that the family  $(x_j^* \otimes y_j)$  is unconditionally summable in the operator norm. Where  $x_j^* \otimes y_j$  is an operator from  $E$  to  $F$  defined by  $x_j^* \otimes y_j(x) = x_j^*(x)y_j$ .*

The set of all  $\sigma$ -nuclear operators is denoted by  $\mathcal{N}_{\sigma}(E, F)$  and the  $\sigma$ -nuclear norm is denoted by  $\eta_{\sigma}(T)$  and it is defined by

$$\eta_{\sigma}(T) = \inf \sup \left\{ \sum_{j=1}^{\infty} |x_j^*(x) y^*(y_j)|, x \in B_E, y^* \in B_{F^*} \right\},$$

where the infimum is taken over all  $\sigma$ -nuclear representations.

**Theorem 2.1.1** *By [17]  $(\mathcal{N}_\sigma(E, F), \eta_\sigma(\cdot))$  is a Banach operator ideal.*

For extension  $\sigma$ -nuclear to  $\sigma(p)$ -nuclear we need the following characterization [8] of  $\sigma$ -nuclear operators.

**Theorem 2.1.2** *An operator  $T \in \mathcal{L}(E, F)$  is  $\sigma$ -nuclear if and only if there are sequences  $(x_i^*)_{i=1}^\infty \subset E^*$  and  $(y_i)_{i=1}^\infty \subset F$  such that  $T = \sum_{i=1}^\infty x_i^* \otimes y_i$ ,*

$$\sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^\infty |x_i^*(x) y^*(y_i)| < \infty$$

and

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m}^\infty |x_i^*(x) y^*(y_i)| = 0.$$

**Proof.** By [9, Propositions 8.3 and 8.1], a sequence  $(z_i)_{i=1}^\infty$  is unconditionally summable in Banach space  $Z$  if and only if

$$\sup_{\varphi \in M} \sum_{i=1}^\infty |\varphi(z_i)| < \infty$$

and

$$\lim_{m \rightarrow \infty} \sup_{\varphi \in M} \sum_{i=m}^\infty |\varphi(z_i)| = 0,$$

for some norming set  $M \subseteq B_{Z^*}$ .

Let  $x \in B_E$  and  $y^* \in B_{F^*}$ , define the map

$$\varphi_{x, y^*} : \mathcal{L}(E, F) \rightarrow \mathbb{K}, \quad \varphi_{x, y^*}(T) := y^*(T(x)),$$

We can easily to prove that  $\varphi_{x, y^*}$  is a continuous linear form and  $\|\varphi_{x, y^*}\| \leq 1$ . Then, the set

$$M = \{\varphi_{x, y^*}, \quad x \in B_E, y^* \in B_{F^*}\}$$

is subset of  $B_{\mathcal{L}(E, F)^*}$ .

Recall that for Banach space  $Z$ , a subset  $M$  of the dual unit ball  $B_{Z^*}$  is said to be norming, if  $\|z\| = \sup_{z^* \in M} |z^*(z)|$  for all  $z \in Z$ .

Let us check that  $M$  is norming. Give  $\varphi \in M$ , we have

$$\begin{aligned}
 \sup_{\varphi \in M} |\varphi(T)| &= \sup_{\varphi_{x,y^*} \in M} |\varphi_{x,y^*}(T)| \\
 &= \sup_{\substack{x \in B_E \\ y^* \in B_{F^*}}} |y^*(T(x))| \\
 &= \sup_{x \in B_E} \|T(x)\| \\
 &= \|T\|.
 \end{aligned}$$

For  $Z = \mathcal{L}(E, F)$  and  $(z_i)_{i=1}^\infty = (x_i^* \otimes y_i)_{i=1}^\infty \subset Z$ . We take  $\varphi \in M$

$$\begin{aligned}
 |\varphi(x_i^* \otimes y_i)| &= |\varphi_{x,y^*}(x_i^* \otimes y_i)| \\
 &= |y^*(x_i^*(x)y_i)| \\
 &= |x_i^*(x)y^*(y_i)|
 \end{aligned}$$

for  $x \in B_E, y^* \in B_{F^*}$ .

Since  $(x_i^* \otimes y_i)_{i=1}^\infty$  is unconditionally summable sequence in  $\mathcal{L}(E, F)$ , that equivalently with

$$\sup_{\varphi \in M} \sum_{i=1}^{\infty} |\varphi(x_i^* \otimes y_i)| = \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x)y^*(y_i)| < \infty$$

and

$$\lim_{m \rightarrow \infty} \sup_{\varphi \in M} \sum_{i=m}^{\infty} |\varphi(x_i^* \otimes y_i)| = \lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m}^{\infty} |x_i^*(x)y^*(y_i)| = 0.$$

This completes the proof. ■

Motivated by Theorem 2.1.2, we characterize the Definition 2.1.1 as follows.

**Definition 2.1.2** We say that an operator  $T$  between two Banach spaces  $E$  and  $F$  is  $\sigma$ -nuclear if there are sequences  $(\lambda_i)_{i=1}^\infty \in \ell_\infty, (x_i^*)_{i=1}^\infty \subset E^*$  and  $(y_i)_{i=1}^\infty \subset F$  such that  $T = \sum_{i=1}^{\infty} \lambda_i x_i^* \otimes y_i$ ,

$$\sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x)y^*(y_i)| < \infty$$

and

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m}^{\infty} |x_i^*(x)y^*(y_i)| = 0.$$

In this case, the  $\sigma$ -nuclear norm becomes defined as

$$\eta_{\sigma(1)}(T) = \inf \left\{ \left\| (\lambda_i)_{i=1}^{\infty} \right\|_{\infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \right\},$$

where the infimum is taken over all  $\sigma$ -nuclear representations of  $T$ .

**Proposition 2.1.1** For all  $\sigma$ -nuclear operator  $T$ , we have  $\eta_{\sigma}(T) = \eta_{\sigma(1)}(T)$

**Proof.** Now, consider  $T = \sum_{i=1}^{\infty} x_i^* \otimes y_i = \sum_{i=1}^{\infty} \lambda_i x_i^* \otimes y_i$  with  $\lambda_i = 1$ , we have

$$\begin{aligned} \eta_{\sigma(1)}(T) &= \inf \left\{ \left\| (\lambda_i)_{i=1}^{\infty} \right\|_{\infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \right\} \\ &\leq \inf \left\{ \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \right\} = \eta_{\sigma}(T). \end{aligned}$$

On the other hand, let  $T = \sum_{i=1}^{\infty} \lambda_i x_i^* \otimes y_i = \sum_{i=1}^{\infty} x_i^* \otimes z_i$  where  $z_i = \lambda_i y_i$ , we have

$$\begin{aligned} \eta_{\sigma}(T) &= \inf \left\{ \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(z_i)| \right\} \\ &= \inf \left\{ \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |\lambda_i| |x_i^*(x) y^*(y_i)| \right\} \\ &\leq \inf \left\{ \left\| (\lambda_i)_{i=1}^{\infty} \right\|_{\infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \right\} = \eta_{\sigma(1)}(T), \end{aligned}$$

which completes the proof. ■

**Example 2.1.1** Let  $(x_i)_{i=1}^{\infty} \in c_0(E)$ , we define the map

$$S : \ell_1 \longrightarrow E : \alpha \longmapsto S(\alpha) = \sum_{i=1}^{\infty} e_i^*(\alpha) x_i,$$

where  $(e_i^*)_{i=1}^{\infty}$  is the standard unit vector in  $\ell_1^*$  (i.e.  $c_0$ ). Then  $S$  is  $\sigma$ -nuclear operator.

Indeed, obvious that  $S$  is linear continues operator and  $S = \sum_{i=1}^{\infty} e_i^* \otimes x_i$ . We have

$$\begin{aligned} \sup_{\alpha \in B_{\ell_1}, x^* \in B_{E^*}} \sum_{i=1}^{\infty} |e_i^*(\alpha) x^*(x_i)| &= \sup_{\alpha \in B_{\ell_1}, x^* \in B_{E^*}} \sum_{i=1}^{\infty} |\alpha_i x^*(x_i)| \\ &\leq \sup_{\alpha \in B_{\ell_1}} \sum_{i=1}^{\infty} |\alpha_i| \|x_i\| \\ &\leq \sup_{1 \leq i \leq \infty} \|x_i\| \sup_{\alpha \in B_{\ell_1}} \|\alpha\|_{\ell_1} < \infty \end{aligned}$$

and

$$\begin{aligned}
 \lim_{m \rightarrow \infty} \sup_{\alpha \in B_{\ell_1}, x^* \in B_{E^*}} \sum_{i=m}^{\infty} |e_i^*(\alpha)x^*(x_i)| &= \lim_{m \rightarrow \infty} \sup_{\alpha \in B_{\ell_1}, x^* \in B_{E^*}} \sum_{i=m}^{\infty} |\alpha_i x^*(x_i)| \\
 &\leq \lim_{m \rightarrow \infty} \sup_{\alpha \in B_{\ell_1}} \sum_{i=m}^{\infty} |\alpha_i| \|x_i\| \\
 &\leq \lim_{m \rightarrow \infty} \sup_{1 \leq i \leq \infty} \|x_i\| \sup_{\alpha \in B_{\ell_1}} \sum_{i=m}^{\infty} |\alpha_i| = 0.
 \end{aligned}$$

So,  $S$  is  $\sigma$ -nuclear operator and

$$\begin{aligned}
 \eta_{\sigma}(S) &\leq \sup \left\{ \sum_{j=1}^{\infty} |\alpha_j x^*(x_j)| : (\alpha_j)_j \in B_{\ell_1}, x^* \in B_{E^*} \right\} \\
 &\leq \sup_{j \in \mathbb{N}} \|x_j\| = \|x\|_{\infty}.
 \end{aligned}$$

## 2.2 Factorization theorem

A sequence  $(e_j)$  with  $e_j \neq 0$  is called a hyperorthogonal basis of the Banach space  $U$  if its linear span is dense and if  $|\xi_j| \leq |\eta_j|$  implies  $\left\| \sum_{j=1}^n \xi_j e_j \right\| \leq \left\| \sum_{j=1}^n \eta_j e_j \right\|$  for  $n = 1, 2, \dots$

**Remark 2.2.1** *Every hyperorthogonal basis is unconditional conversely, a Banach space with an unconditional basis can be renormed such that basis becomes hyperorthogonal.*

**Example 2.2.1** *The unit sequence basis of  $\ell_p$  with  $1 \leq p < \infty$  and  $c_0$  is hyperorthogonal basis.*

The  $\sigma$ -nuclear operator can be factorized as the following theorem (see [17, Theorem 23.2.5] and [14]). Here we give the proof in more detail .

**Theorem 2.2.1** *An operator  $T \in \mathcal{N}_{\sigma}(E, F)$  if and only if, the following diagram commutes*

$$\begin{array}{ccc}
 E & \xrightarrow{T} & F \\
 A \downarrow & \nearrow & B \\
 U & & 
 \end{array}$$

where,  $A \in \overline{\mathcal{L}_f(E, G)}$ ,  $B \in \overline{\mathcal{L}_f(G, F)}$  and  $G$  is a Banach space having a hyperorthogonal basis  $(e_i)_{i=1}^{\infty}$ . And we have  $\eta_{\sigma}(T) = \inf \|A\| \|B\|$  where the infimum is taken over all possible factorizations.

**Proof.**  $\Rightarrow$ ) Consider  $\varepsilon > 0$ ,  $T \in \mathcal{N}_\sigma(E, F)$  and let  $\sum_{i=1}^{\infty} \lambda_i x_i^* \otimes y_i$  be a representation of  $T$ , such that

$$\sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \leq (1 + \varepsilon) \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_\sigma(T).$$

We have for all  $x \in E$  and  $y^* \in F^*$ ,

$$\begin{aligned} \sum_{i=1}^{\infty} \left| \frac{x_i^*(x)}{\|x\|} \frac{y^*(y_i)}{\|y^*\|} \right| &\leq \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \\ &\leq (1 + \varepsilon) \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_\sigma(T), \end{aligned}$$

it follows that

$$\sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \leq (1 + \varepsilon) \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_\sigma(T) \|x\| \|y^*\|.$$

We put  $|x_i^*(x) y^*(y_i)| = |\alpha_i|$ , obvious that  $(\alpha_i)_{i=1}^{\infty} \in \ell_1$ . By Lemma 8.6.4 in [17], there exists a decreasing sequence  $(\varrho_i)_{i=1}^{\infty} \in c_0$  with  $0 \leq \varrho_i \leq 1$  such that

$$\sum_{i=1}^{\infty} \varrho_i^{-1} |\alpha_i| \leq (1 + \varepsilon) \sum_{i=1}^{\infty} |\alpha_i|.$$

We conclude that

$$\begin{aligned} \sum_{i=1}^{\infty} \varrho_i^{-1} |x_i^*(x) y^*(y_i)| &\leq (1 + \varepsilon) \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)| \\ &\leq (1 + \varepsilon)^2 \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_\sigma(T) \|x\| \|y^*\|. \end{aligned}$$

We can consider  $\varrho_i = \beta_i^2$ , where  $(\beta_i) \in c_0$  such that  $1 \geq \beta_1 \geq \beta_2 \geq \dots \geq 0$ . Thus,

$$\sum_{i=1}^{\infty} \beta_i^{-2} |x_i^*(x) y^*(y_i)| \leq (1 + \varepsilon)^2 \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_\sigma(T) \|x\| \|y^*\|.$$

Let

$$G := \{z = (\tau_i)_{i=1}^{\infty} \in \mathbb{C} : (\tau_i \beta_i^{-1} y_i)_{i=1}^{\infty} \text{ is unconditionally summable}\}$$

and

$$\|z\|_G := \sup_{y^* \in B_{F^*}} \sum_{i=1}^{\infty} |\beta_i^{-1} \tau_i y^*(y_i)|.$$

Then  $(G, \|\cdot\|_G)$  is a Banach space and the sequence  $(e_i)_{i=1}^\infty$  of standard unit vectors forms a hyperorthogonal basis in  $G$ . Indeed, let  $|\gamma_i| \leq |\theta_i|$ , we have

$$\begin{aligned} \left\| \sum_{i=1}^n \gamma_i e_i \right\|_G &= \|(\gamma_i)_{i=1}^n\|_G \\ &= \sup_{y^* \in B_{F^*}} \sum_{i=1}^n |\gamma_i \beta_i^{-1} y^*(y_i)| \\ &\leq \sup_{y^* \in B_{F^*}} \sum_{i=1}^n |\theta_i \beta_i^{-1} y^*(y_i)| \\ &= \|(\theta_i)_{i=1}^n\|_G = \left\| \sum_{i=1}^n \theta_i e_i \right\|_G, \end{aligned}$$

for all  $n \in \mathbb{N}$ .

Define  $A \in \mathcal{L}(E, G)$  and  $B \in \mathcal{L}(G, F)$  by

$$A(x) = x_i^*(x), \quad \text{for } x \in E, x_i^* \in E^*$$

and

$$B(z) = B((\tau_i)_{i=1}^\infty) = B\left(\sum_{i=1}^\infty \tau_i e_i\right) = \sum_{i=1}^\infty \tau_i B(e_i) = \sum_{i=1}^\infty \lambda_i \tau_i y_i,$$

for  $z \in G$  and  $(\lambda_i)_{i=1}^\infty \in \ell_\infty$ .

Hence, it follows that

$$B \circ A(x) = B((x_i^*(x))_{i=1}^\infty) = \sum_{i=1}^\infty \lambda_i x_i^*(x) y_i = Tx.$$

Usually, we take  $P_m : G \rightarrow G$  such that  $P_m((\xi_i)_{i=1}^\infty) := (\xi_i)_{i=1}^m$ . Then

$$\begin{aligned} \|(A - P_m A)x\|_G &= \|(x_i^*(x))_{i=m+1}^\infty\|_G \\ &= \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^\infty |\beta_i^{-1} x_i^*(x) y^*(y_i)| \\ &= \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^\infty |\beta_i \beta_i^{-2} x_i^*(x) y^*(y_i)| \\ &\leq \beta_{m+1} \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^\infty |\beta_i^{-2} x_i^*(x) y^*(y_i)| \\ &\leq \beta_{m+1} (1 + \varepsilon)^2 \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \eta_\sigma(T) \|x\| \end{aligned}$$

and

$$\begin{aligned}
 \|(B - BP_m)z\| &= \left\| \sum_{i=m+1}^{\infty} \lambda_i \tau_i y_i \right\| \\
 &= \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^{\infty} |\lambda_i \tau_i y^*(y_i)| \\
 &\leq \|(\lambda_i)_{i=1}^{\infty}\|_{\infty} \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^{\infty} |\tau_i y^*(y_i)| \\
 &\leq \|(\lambda_i)_{i=1}^{\infty}\|_{\infty} \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^{\infty} \beta_i \beta_i^{-1} |\tau_i y^*(y_i)| \\
 &\leq \beta_{m+1} \|(\lambda_i)_{i=1}^{\infty}\|_{\infty} \sup_{y^* \in B_{F^*}} \sum_{i=m+1}^{\infty} |\tau_i \beta_i^{-1} y^*(y_i)| \\
 &\leq \beta_{m+1} \|(\lambda_i)_{i=1}^{\infty}\|_{\infty} \|t\|_G.
 \end{aligned}$$

Hence  $\|A - P_m A\| \leq \beta_{m+1} (1 + \varepsilon)^2 \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_{\sigma}(T)$  and  $\|B - BP_m\| \leq \beta_{m+1} \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}$ .

So,  $A \in \overline{\mathcal{L}_f(E, G)}$  and  $B \in \overline{\mathcal{L}_f(G, F)}$  with

$$\|A\| \leq (1 + \varepsilon)^2 \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \eta_{\sigma}(T) \text{ and } \|B\| \leq \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}.$$

It follows that  $\|A\| \|B\| \leq (1 + \varepsilon)^2 \eta_{\sigma}(T)$ . Then,  $\eta_{\sigma}(T) = \inf \|A\| \|B\|$  where the infimum is taken over all possible factorizations.

$\Leftrightarrow$  Assume that  $T$  have the precedent factorization. Consider  $A \in \overline{\mathcal{L}_f(E, G)}$ ,  $B \in \overline{\mathcal{L}_f(G, F)}$  and  $G$  is Banach space having a hyperorthogonal basis.

Let  $(e_i)_{i=1}^{\infty}$  be a hyperorthogonal basis of  $G$  and  $(v_i) \in G^*$  be a sequence of corresponding coordinate functionals, we have

$$A(x) = \sum_{i=1}^{\infty} v_i(A(x)) e_i = \sum_{i=1}^{\infty} A^* v_i(x) e_i.$$

By composing with  $B$ , we get

$$T(x) = B \circ A(x) = B\left(\sum_{i=1}^{\infty} A^* v_i(x) e_i\right) = \sum_{i=1}^{\infty} A^* v_i(x) B(e_i).$$

Therefore, considering  $x_i^* = A^* v_i \in E^*$ , as there are  $(\lambda_i)_{i=1}^{\infty} \in \ell_{\infty} \setminus \{0\}$  and  $(y_i)_{i=1}^{\infty} \in F$  such that  $B(e_i) = \lambda_i y_i$ . Then  $T$  has the representation

$$T = \sum_{i=1}^{\infty} \lambda_i x_i^* \otimes y_i.$$

In order to show that  $T$  is  $\sigma$ -nuclear operator. We have

$$\begin{aligned}
 \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^m |x_i^*(x) y^*(y_i)| &= \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^m \left| A^* v_i(x) y^* \left( \frac{B(e_i)}{\lambda_i} \right) \right| \\
 &\leq \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^m |v_i(Ax) y^*(B(e_i))| \\
 &= \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \sum_{i=1}^m |v_i(u) y^*(B(e_i))|.
 \end{aligned}$$

Let  $\varepsilon_i = \pm 1$ , we have to estimate the latter expression. Observe that

$$\begin{aligned}
 \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \sum_{i=1}^m |v_i(u) y^*(B(e_i))| &= \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \left| \sum_{i=1}^m \varepsilon_i v_i(u) y^*(B(e_i)) \right| \\
 &= \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \left| y^* \left( B \left( \sum_{i=1}^m \varepsilon_i v_i(u) e_i \right) \right) \right| \\
 \text{(by Hahn-Banach)} &= \sup_{u \in \text{Im}(A)} \left\| B \left( \sum_{i=1}^m \varepsilon_i v_i(u) e_i \right) \right\| \\
 &\leq \|B\| \sup_{u \in \text{Im}(A)} \left\| \sum_{i=1}^m \varepsilon_i v_i(u) e_i \right\|.
 \end{aligned}$$

Since  $(e_i)_i$  is hyperorthogonal basis and  $|\varepsilon_i| = 1$ , for all  $i \geq 1$ , then

$$\sup_{u \in \text{Im}(A)} \left\| \sum_{i=1}^m \varepsilon_i v_i(u) e_i \right\| \leq \sup_{u \in \text{Im}(A)} \left\| \sum_{i=1}^m v_i(u) e_i \right\|.$$

Therefore

$$\begin{aligned}
 \sup_{\substack{x \in B_E \\ y^* \in B_{F^*}}} \sum_{i=1}^m |x_i^*(x) y^*(y_i)| &\leq \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \|B\| \sup_{u \in \text{Im}(A)} \left\| \sum_{i=1}^m v_i(u) e_i \right\| \\
 &\leq \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \|B\| \sup_{u \in \text{Im}(A)} \|P_m(u)\|,
 \end{aligned}$$

where  $(P_m)_m$  the sequence of canonical projections,  $P_m(u) = \sum_{i=1}^m v_i(u) e_i$ .

Thanks to [15, Corollary 26.3 (a)], there is a constant  $C \geq 1$  such that  $\|P_m(u)\| \leq C\|u\|$ .

It follows that

$$\begin{aligned}
 \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=1}^m |x_i^*(x) y^*(y_i)| &\leq C \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \|B\| \sup_{u \in \text{Im}(A)} \|u\| \\
 &= C \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \|B\| \sup_{x \in B_E} \|A(x)\| \\
 &= C \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \|B\| \|A\| < \infty.
 \end{aligned}$$

Now we will prove that

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m}^\infty |x_i^*(x) y^*(y_i)| = 0.$$

We have

$$\begin{aligned}
 \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m+1}^\infty |x_i^*(x) y^*(y_i)| &= \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m+1}^\infty \left| A^* v_i(x) y^* \left( \frac{B(e_i)}{\lambda_i} \right) \right| \\
 &\leq \|(\lambda_i)_{i=m+1}^\infty\|_\infty^{-1} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m+1}^\infty |v_i(Ax) y^*(B(e_i))| \\
 &\leq \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \sum_{i=m+1}^\infty |v_i(u) y^*(B(e_i))| \\
 &\leq \|(\lambda_i)_{i=1}^\infty\|_\infty^{-1} \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \sum_{i=m+1}^\infty |v_i(u) y^*(B(e_i))|
 \end{aligned}$$

Let  $\varepsilon_i = \pm 1$ , we have to estimate the latter expression. Observe that

$$\begin{aligned}
 \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \sum_{i=m+1}^\infty |v_i(u) y^*(B(e_i))| &= \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \left| \sum_{i=m+1}^\infty \varepsilon_i v_i(u) y^*(B(e_i)) \right| \\
 &= \sup_{\substack{u \in \text{Im}(A) \\ y^* \in B_{F^*}}} \left| y^* \left( B \left( \sum_{i=m+1}^\infty \varepsilon_i v_i(u) e_i \right) \right) \right| \\
 &= \sup_{u \in \text{Im}(A)} \left\| B \left( \sum_{i=m+1}^\infty \varepsilon_i v_i(u) e_i \right) \right\| \\
 &\leq \|B\| \sup_{u \in \text{Im}(A)} \left\| \sum_{i=m+1}^\infty \varepsilon_i v_i(u) e_i \right\|.
 \end{aligned}$$

Since  $(e_i)_i$  is hyperorthogonal basis and  $|\varepsilon_i| = 1$ , for all  $i \geq 1$ , then

$$\sup_{u \in \text{Im}(A)} \left\| \sum_{i=m+1}^\infty \varepsilon_i v_i(u) e_i \right\| \leq \sup_{u \in \text{Im}(A)} \left\| \sum_{i=m+1}^\infty v_i(u) e_i \right\|.$$

Therefore

$$\begin{aligned} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m+1}^{\infty} |x_i^*(x) y^*(y_i)| &\leq \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \|B\| \sup_{u \in \overline{Im(A)}} \left\| \sum_{i=m+1}^{\infty} v_i(u) e_i \right\| \\ &\leq \|(\lambda_i)_{i=1}^{\infty}\|_{\infty}^{-1} \|B\| \sup_{u \in \overline{Im(A)}} \|u - P_m(u)\|, \end{aligned}$$

We know that every approximable operators are compact operators, it follows that  $\overline{Im(A)}$  is compact in  $G$ . We have by [15, Corollary 26.3 (b)] the sequence  $(P_m)$  converges to the identity uniformly on  $\overline{Im(A)}$ , i.e.

$$\lim_{m \rightarrow \infty} \sup_{u \in \overline{Im(A)}} \|u - P_m(u)\| = 0.$$

Consequently,

$$\lim_{m \rightarrow \infty} \sup_{\substack{x \in B_E \\ y^* \in B_{F^*}}} \sum_{i=m}^{\infty} |x_i^*(x) y^*(y_i)| = 0.$$

This proves that  $T$  is  $\sigma$ -nuclear operator. ■

## 2.3 The surjective and injective hull of $\sigma$ -nuclear linear operators

Let  $\mathcal{A}$  be an operator ideal. The surjective hull  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]^{sur}$  of an operator ideal  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$  is defined as follows;

$$\mathcal{A}^{sur}(E, F) := \{T \in \mathcal{L}(E, F) : Q_E \in \mathcal{A}(\ell_1(B_E), F)\},$$

where  $Q_E : \ell_1(B_E) \rightarrow E$  is the natural surjection operator defined as  $Q_X(\lambda_x)_{x \in B_E} = \sum_{x \in B_E} \lambda_x x$ , and  $\|T\|_{\mathcal{A}^{sur}} := \|TQ_E\|_{\mathcal{A}}$  for  $T \in \mathcal{A}^{sur}(E, F)$ .

**Lemma 2.3.1** [17] *Let  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$  be a Banach operator ideal and let  $E$  and  $F$  be a Banach spaces. A linear map  $T \in \mathcal{A}^{sur}(E, F)$  if and only if there exists a Banach space  $Z$  and an  $S \in \mathcal{A}(Z, F)$  such that  $T(B_E) \subset S(B_Z)$ .*

*In this case,*

$$\|T\|_{\mathcal{A}^{sur}} = \inf \|S\|_{\mathcal{A}}.$$

*where the infimum is taken over all the above inclusions.*

**Theorem 2.3.1** [13, Theorem 2] *The surjective hull  $[\mathcal{N}_\sigma, \eta_\sigma(\cdot)]^{sur}$  of the ideal of  $\sigma$ -nuclear operators can be identified with the ideal  $[\mathcal{K}, \|\cdot\|]$  of compact operators.*

**Proof.** Since  $[\mathcal{N}_\sigma, \eta_\sigma(\cdot)] \subset [\overline{\mathcal{L}_f}, \|\cdot\|]$  and  $[\overline{\mathcal{L}_f}, \|\cdot\|]^{sur} = [\mathcal{K}, \|\cdot\|]$  (see [9, Ex. 9.12]), then

$$[\mathcal{N}_\sigma, \eta_\sigma(\cdot)]^{sur} \subset [\mathcal{K}, \|\cdot\|].$$

To show the opposite inclusion, let  $E$  and  $F$  be Banach spaces. Let  $T \in \mathcal{K}(E, F)$  and let  $\epsilon > 0$ . Then by Lemma 1.3.1, there exists a null sequence  $(x_j)_j$  in  $E$  with

$$\sup_{j \in \mathbb{N}} \|x_j\| \leq (1 + \epsilon) \|T\|$$

such that

$$T(B_F) \subset \left\{ \sum_{j=1}^{\infty} a_j x_j : (a_j)_j \in B_{\ell_1} \right\}.$$

Let us consider the map  $S : \ell_1 \rightarrow E$ ,  $A = \sum_{j=1}^{\infty} e_j \otimes x_j$ , where each  $e_j$  is the standard unit vector in  $c_0$ . By Example 2.1.1,  $S \in \mathcal{N}_\sigma(\ell_1, E)$  and

$$\eta_\sigma(S) \leq \sup_{j \in \mathbb{N}} \|x_j\| \leq (1 + \epsilon) \|T\|.$$

Since  $T(B_F) \subset A(B_{\ell_1})$ , by Lemma 2.3.1,  $T \in \mathcal{N}_\sigma^{sur}(E, F)$  and  $\eta_\sigma(T) \leq \eta_\sigma(S) \leq (1 + \epsilon) \|T\|$ .

■

Following [17], for a Banach operator ideal  $\mathcal{A}$ , the injective hull  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]^{inj}$  of an operator ideal  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$  is defined as follows;

$$\mathcal{A}^{inj}(E, F) := \{T \in \mathcal{L}(E, F) : i_F T \in \mathcal{A}(E, \ell_\infty(B_{F^*}))\},$$

where  $i_F : F \rightarrow \ell_\infty(B_{F^*})$  is the natural isometry defined as  $i_F(z) = (z^*(z))_{z^* \in B_{F^*}}$ , and  $\|T\|_{\mathcal{A}^{inj}} := \|i_F T\|_{\mathcal{A}}$  for all  $T \in \mathcal{A}^{inj}(E, F)$ . If  $\mathcal{A}$  is an operator ideal, then  $\mathcal{A}^{inj}$  is also an operator ideal. The dual operator ideal  $\mathcal{A}^{dual}$  consists of all operators  $T$  such that  $T^* \in \mathcal{A}$ . An operator ideal  $\mathcal{A}$  is called symmetric if  $\mathcal{A} \subset \mathcal{A}^{dual}$ . In case  $\mathcal{A} = \mathcal{A}^{dual}$  the operator ideal is said to be completely symmetric [17, 4.4.5].

**Lemma 2.3.2** [13, Lemma 4] *If  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]$  is a symmetric Banach operator ideal, then  $[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]^{inj} = [[\mathcal{A}, \|\cdot\|_{\mathcal{A}}]^{sur}]^{dual}$*

Using the principle of local reflexivity, Hutton [12](see also, [17, Proposition 4.4.7]), proved that a continuous linear operator  $S \in \mathcal{L}(E, F)$  between Banach spaces can be approximated, in the usual operator norm, by finite rank operators if and only if its adjoint  $S^* \in \mathcal{L}(F^*, E^*)$  can be approximated, in the usual operator norm, by finite rank operators. (i.e.,  $\overline{\mathcal{L}_f(E, F)}$  is completely symmetric).

**Theorem 2.3.2**  $[\mathcal{N}_\sigma(E, F), \eta_\sigma(\cdot)]$  is symmetric.

**Proof.** By Theorem 2.2.1  $T \in \mathcal{N}_\sigma(E, F)$  if and only if, there exist two operators  $A \in \overline{\mathcal{L}_f(E, G)}$ ,  $B \in \overline{\mathcal{L}_f(G, F)}$  and  $G$  is a Banach space having a hyperorthogonal basis  $(e_i)_{i=1}^\infty$  such that  $T = BA$ . Hutton's theorem assures that  $B$  (respectively  $A$ ) can be approximated by finite rank operators if and only if  $B^*$  (respectively  $A^*$ ) can be approximated by finite rank operators. This proves that  $T^* = A^*B^* \in \mathcal{N}_\sigma(F^*, E^*)$ . ■

The injective hull of the ideal of nuclear operators is identified in [17, Proposition 8.5.5] (see also [13, Theorem 2]). The following theorem is a consequence of the fact that the ideal  $[\mathcal{N}_\sigma(E, F), \eta_\sigma(\cdot)]$  is symmetric.

**Theorem 2.3.3**  $[\mathcal{N}_\sigma, \eta_\sigma(\cdot)]^{inj} = [\mathcal{K}, \|\cdot\|]$ .

**Proof.** Since  $[\mathcal{N}_\sigma, \eta_\sigma(\cdot)]$  is symmetric, by Theorem 3.3.1 and Lema 2.3.2,

$$[\mathcal{N}_\sigma, \eta_\sigma(\cdot)]^{inj} = ([\mathcal{N}_\sigma, \eta_\sigma(\cdot)]^{sur})^{dual} = [\mathcal{K}, \|\cdot\|].$$

■

# Chapter 3

## The ideal of $\sigma(p)$ -nuclear linear operators

In [14] and [8] the Banach operator ideal of  $\sigma(p)$ -nuclear linear operators for  $p \geq 1$  is introduced and studied. In [8] the concept of  $\sigma$ -nuclear linear operators was generalized to  $\sigma(p)$ -nuclear linear operators for  $p \geq 1$ . In this chapter we prove that the class of  $\sigma(p)$ -nuclear linear operators is a Banach ideal, in particular the class of  $\sigma$ -nuclear linear operators is a Banach operator ideal. Also prove, under usual conditions on the underlying spaces, a simpler formula for the  $\sigma(p)$ -nuclear norm of a finite type operator. At the end of this section, we present an (incomplete) contribution of some properties of the surjective hull of the Banach operator ideal of  $\sigma(p)$ -nuclear operators.

### 3.1 $\sigma(p)$ -nuclear linear operators

Now we present the classes of  $\sigma(p)$ -nuclear linear operators for  $p \geq 1$  introduced by Botelho and Mujica in [8] and [14].

**Definition 3.1.1** For  $1 \leq p < \infty$ , we say that a linear operator  $T : E \rightarrow F$  is  $\sigma(p)$ -nuclear if there are sequences  $(\lambda_j)_{j=1}^{\infty} \in \ell_{p^*}$ ,  $(x_j^*)_{j=1}^{\infty}$  in  $E^*$  and  $(y_j)_{j=1}^{\infty}$  in  $F$ , such that

$$T = \sum_{j=1}^{\infty} \lambda_j x_j^* \otimes y_j,$$

$$\sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} < \infty$$

and

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} = 0.$$

In this case we say that  $T = \sum_{j=1}^{\infty} \lambda_j x_j^* \otimes y_j$ , is  $\sigma(p)$ -nuclear representation of  $T$  and define

$$\|T\|_{\sigma(p)} = \inf \left\{ \left\| (\lambda_j)_{j=1}^{\infty} \right\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \right\},$$

where the infimum runs over all  $\sigma(p)$ -nuclear representation of  $T$ . The set of all such linear operators is denoted by  $\mathcal{N}_{\sigma(p)}(E; F)$ .

**Proposition 3.1.1** *Let  $T \in \mathcal{L}(E, F)$  and  $1 \leq p < \infty$ . Then*

$$\mathcal{N}_{\sigma(p)}(E, F) \subset \mathcal{N}_{\sigma}(E, F).$$

**Proof.** Given  $T \in \mathcal{N}_{\sigma(p)}(E, F)$ . We have  $T = \sum_{j=1}^{\infty} \lambda_j x_j^* \otimes y_j = \sum_{j=1}^{\infty} x_j^* \otimes b_j$ , where  $b_j = \lambda_j y_j \in F$ , note that  $T$  have a  $\sigma$ -nuclear representation. Thanks to Hölder's inequality, we have

$$\begin{aligned} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |x_j^*(x) y^*(b_j)| &= \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |\lambda_j| |x_j^*(x) y^*(y_j)| \\ &\leq \left\| (\lambda_j)_{j=1}^{\infty} \right\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{i=1}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} < \infty \end{aligned}$$

and

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{i=m}^{\infty} |x_j^*(x) y^*(b_j)| \leq \left\| (\lambda_j)_{j=1}^{\infty} \right\|_{p^*} \lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} = 0.$$

Hence,  $T$  is  $\sigma$ -nuclear, with

$$\begin{aligned} \eta_{\sigma}^L(T) &= \inf \left\{ \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |x_j^*(x) y^*(b_j)| \right\} \\ &\leq \inf \left\{ \left\| (\lambda_i)_{i=1}^{\infty} \right\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{i=1}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \right\} = \eta_{\sigma(p)}^L(T). \end{aligned}$$

This completes the proof. ■

**Example 3.1.1** Let  $1 \leq p, q, r < \infty$  such that  $\frac{1}{p} = \frac{1}{q} + \frac{1}{r}$ ,  $(x_i)_{i=1}^\infty \in \ell_q(E)$  and  $(\lambda_j)_{j=1}^\infty \in \ell_{p^*}$ , we define the map

$$R : \ell_r \longrightarrow E : \alpha \longmapsto S(\alpha) = \sum_{i=1}^{\infty} \lambda_j e_i^*(\alpha) x_i,$$

where  $(e_i^*)_{i=1}^\infty$  is the standard unit vector in  $\ell_{p^*}$  (i.e.  $c_0$ ). Then  $R$  is  $\sigma(p)$ -nuclear operator. Indeed, obvious that  $S$  is linear continues operator and have a  $\sigma(p)$ -nuclear representation  $R = \sum_{i=1}^{\infty} \lambda_j e_i^* \otimes x_i$ . We have

$$\begin{aligned} \sup_{\alpha \in B_{\ell_r}, x^* \in B_{E^*}} \left( \sum_{j=1}^{\infty} |e_j^*(\alpha) x^*(x_j)|^p \right)^{\frac{1}{p}} &\leq \sup_{\alpha \in B_{\ell_r}, x^* \in B_{E^*}} \left( \sum_{j=1}^{\infty} |\alpha_j x^*(x_j)|^p \right)^{\frac{1}{p}} \\ &\leq \sup_{\alpha \in B_{\ell_r}} \left( \sum_{j=1}^{\infty} (|\alpha_j| \|x_j\|)^p \right)^{\frac{1}{p}} \\ &\leq \sup_{\alpha \in B_{\ell_r}} \left( \sum_{j=1}^{\infty} |\alpha_j|^r \right)^{\frac{1}{r}} \left( \sum_{j=1}^{\infty} \|x_j\|^q \right)^{\frac{1}{q}} \\ &\leq \|(x_j)_j\|_q < \infty \end{aligned}$$

and

$$\lim_{m \rightarrow \infty} \sup_{\alpha \in B_{\ell_r}, x^* \in B_{E^*}} \left( \sum_{j=m}^{\infty} |e_j^*(\alpha) x^*(x_j)|^p \right)^{\frac{1}{p}} \leq \lim_{m \rightarrow \infty} \left( \sum_{j=m}^{\infty} \|x_j\|^q \right)^{\frac{1}{q}} = 0$$

Then,  $R$  is  $\sigma(p)$ -nuclear operator and

$$\begin{aligned} \|R\|_{\sigma(p)} &\leq \|(\lambda_j)_{j=1}^\infty\|_{p^*} \sup_{\alpha \in B_{\ell_r}, x^* \in B_{E^*}} \left( \sum_{j=1}^{\infty} |e_j^*(\alpha) x^*(x_j)|^p \right)^{\frac{1}{p}} \\ &\leq \|(\lambda_j)_{j=1}^\infty\|_{p^*} \|(x_j)_j\|_q. \end{aligned}$$

**Proposition 3.1.2** For  $1 \leq p < \infty$ ,  $(\mathcal{N}_{\sigma(p)}, \|\cdot\|_{\sigma(p)})$  is a Banach ideal of linear operator. In particular the class of  $\sigma$ -nuclear operator linear is a Banach operator ideal.

**Proof.** Condition (i). It follows from  $id_{\mathbb{K}} = 1 \cdot id_{\mathbb{K}} \otimes 1$  ( $id_{\mathbb{K}}(\alpha) = \sum_{j=1}^{\infty} \delta_{n,j} \cdot id_{\mathbb{K}}(\alpha) \cdot 1 = \alpha$ ) and  $\|id_{\mathbb{K}}\|_{\sigma(p)} = \sup_{\|\alpha\| \leq 1, \|\gamma\| \leq 1} |1 \cdot id_{\mathbb{K}}(\alpha) \gamma(1)| \leq 1$ . Moreover, let  $id_{\mathbb{K}} = \sum_{j=1}^{\infty} \lambda_j \beta_j \otimes \eta_j \in \mathcal{L}_{\sigma(p)}$  be any  $\sigma(p)$ -nuclear representation. Then  $1 = id_{\mathbb{K}}(1) = \sum_{j=1}^{\infty} \lambda_j \beta_j \eta_j$ . Consequenly,

$$1 = \|id_{\mathbb{K}}\| \leq \sup_{\|\gamma\| \leq 1} \sum_{j=1}^{\infty} |\lambda_j \beta_j \gamma(\eta_j)| \leq \|(\lambda_j)_{j=1}^\infty\|_{p^*} \sup_{\|\gamma\| \leq 1} \left( \sum_{j=1}^{\infty} |\beta_j \gamma(\eta_j)|^p \right)^{\frac{1}{p}}.$$

and therefore  $1 \leq \|id_{\mathbb{K}}\|_{\sigma(p)}$ .

Let us prove condition (ii) of Theorem 1.3.1. First, for every  $T \in \mathcal{N}_{\sigma(p)}(E; F)$  and  $\varepsilon$  given we remark that

$$\begin{aligned} \|T\| &= \sup_{x \in B_E} \|T(x)\| = \sup_{x \in B_E} \left\| \sum_{j=1}^{\infty} |\lambda_j| |x_j^*(x) y_j| \right\|_F \\ &= \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |\lambda_j| |x_j^*(x) y^*(y_j)| \\ &\leq \|(\lambda_j)_{j=1}^{\infty}\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{i=1}^{\infty} |x_i^*(x) y^*(y_i)|^p \right)^{\frac{1}{p}} \\ &< (1 + \varepsilon) \|T\|_{\sigma(p)} \end{aligned}$$

Let  $A_1, A_2, \dots \in \mathcal{N}_{\sigma(p)}(E; F)$  be such that  $\sum_{j=1}^{\infty} \|A_k\|_{\sigma(p)} < \infty$ . Since  $\|\cdot\| \leq \|\cdot\|_{\sigma(p)}$  the series  $\sum_{k=1}^{\infty} A_k$  is absolutely convergent in the Banach space  $\mathcal{L}(E; F)$ , therefore  $A := \sum_{k=1}^{\infty} A_k$  converges in  $\mathcal{L}(E; F)$ . Now we shall see  $A$  is  $\sigma(p)$ -nuclear. Given  $\varepsilon > 0$  for each  $k$  take  $\sigma(p)$ -nuclear representation  $A_k = \sum_{j=1}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj}$  such that

$$\|(\lambda_{kj})_{j=1}^{\infty}\|_{p^*} \leq ((1 + \varepsilon) \|A_k\|_{\sigma(p)})^{\frac{1}{p^*}},$$

and

$$\sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p \right)^{\frac{1}{p}} \leq ((1 + \varepsilon) \|A_k\|_{\sigma(p)})^{\frac{1}{p}}.$$

Let us see that  $\sum_{k=1}^{\infty} \sum_{j=1}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj}$   $\sigma(p)$ -nuclear representation of  $A$ .

we have,

$$\begin{aligned} &\| (\lambda_{kj})_{k,j=1}^{\infty} \|_{p^*}^{p^*} = \sum_{k=1}^{\infty} \sum_{j=1}^{\infty} |\lambda_{kj}|^{p^*} \\ &\leq \sum_{k=1}^{\infty} ((1 + \varepsilon) \|A_k\|_{\sigma(p)})^{\frac{1}{p^*} p^*} \\ &= (1 + \varepsilon) \cdot \sum_{k=1}^{\infty} \|A_k\|_{\sigma(p)} < \infty, \end{aligned}$$

and

$$\begin{aligned} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{k=1}^{\infty} \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p &\leq \sum_{k=1}^{\infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p \\ &\leq (1 + \epsilon) \sum_{k=1}^{\infty} \|A_k\|_{\sigma(p)} < \infty. \end{aligned}$$

To check the condition concerning the tail of the series, let  $\delta > 0$  be given. Observing that, for each  $m \in \mathbb{N}$ , such that

$$\begin{aligned} A &= \sum_{k=1}^{\infty} A_k = \sum_{k=1}^{\infty} \sum_{j=1}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj} \\ &= \underbrace{\sum_{k=1}^{m-1} \sum_{j=1}^{m-1} \lambda_{kj} x_{kj}^* \otimes y_{kj} + \sum_{k=1}^{m-1} \sum_{j=m}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj} + \sum_{k=m}^{\infty} \sum_{j=1}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj}}_{\text{tail}}, \end{aligned}$$

We have to show that there is  $M \in \mathbb{N}$  such that

$$\sup_{x \in B_E, y^* \in B_{F^*}} \left\{ \left( \sum_{k=1}^{m-1} \sum_{j=m}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p + \sum_{k=m}^{\infty} \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p \right)^{\frac{1}{p}} \right\} < \delta,$$

for every  $m \geq M$ . By  $\sum_{j=1}^{\infty} \|A_k\|_{\sigma(p)} < \infty$ , there exists  $k_\delta \in \mathbb{N}$  such that  $\sum_{k=k_\delta}^{\infty} \|A_k\|_{\sigma(p)} < \frac{\delta^p}{2(1+\epsilon)}$ .

. Hence

$$\begin{aligned} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{k=k_\delta}^{\infty} \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p &\leq \sum_{k=k_\delta}^{\infty} \sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=1}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p \\ &\leq (1 + \epsilon) \cdot \sum_{k=k_\delta}^{\infty} \|A_k\|_{\sigma(p)} \leq \frac{\delta^p}{2}. \end{aligned}$$

For  $k = 1, \dots, k_\delta - 1$ , since  $\sum_{j=1}^{\infty} \lambda_{kj} x_{kj}^* \otimes y_{kj}$  is a  $\sigma(p)$ -nuclear representation of  $A_k$ , there is  $j_k \in \mathbb{N}$ , such that

$$\sup_{x \in B_E, y^* \in B_{F^*}} \sum_{j=j_k}^{\infty} |x_{kj}^*(x) y^*(y_{kj})|^p \leq \frac{\delta^p}{2^{k+1}}.$$

Choosing  $M = \max\{K_\delta, J_1, \dots, J_{k_\delta-1}\}$  we have for  $m \geq M$  : This completes the proof that  $A$  is  $\sigma(p)$ -nuclear. From

$$\begin{aligned} & \left\| (\lambda_{kj})_{j,k=1}^\infty \right\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{k=1}^\infty \sum_{j=1}^\infty |x_{kj}^*(x) y^*(y_{kj})|^p \right)^{\frac{1}{p}} \\ & \leq (1 + \epsilon)^{\frac{1}{p^*}} \cdot \left( \sum_{k=1}^\infty \|A_k\|_{\sigma(p)} \right)^{\frac{1}{p^*}} \cdot (1 + \epsilon)^{\frac{1}{p}} \cdot \left( \sum_{k=1}^\infty \|A_k\|_{\sigma(p)} \right)^{\frac{1}{p}} \\ & = (1 + \epsilon) \cdot \sum_{k=1}^\infty \|A_k\|_{\sigma(p)}, \end{aligned}$$

letting  $\epsilon \rightarrow 0$ , we conclude that  $\|A\|_{\sigma(p)} \leq \sum_{k=1}^\infty \|A_k\|_{\sigma(p)}$ .

(iii) Now consider the linear operators  $R \in \mathcal{L}(F, G)$  and  $S \in \mathcal{L}(H, E)$ . Let  $T \in \mathcal{L}_{\sigma(p)}(E; F)$  and  $\epsilon > 0$ . We have  $T = \sum_{j=1}^\infty \lambda_j x_j^* \otimes y_j$  such that

$$\left\| (\lambda_j)_{j=1}^\infty \right\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^\infty |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \leq (1 + \epsilon) \|T\|_{\sigma(p)}$$

and

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^\infty |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} = 0.$$

For every  $u \in H$ ,

$$\begin{aligned} RTS(u) &= R \left( \sum_{j=1}^\infty \lambda_j x_j^*(S(u) y_j) \right) = R \left( \sum_{j=1}^\infty \lambda_j S^*(x_j^*)(u) y_j \right) \\ &= \sum_{j=1}^\infty \lambda_j S^*(x_j^*)(u) R(y_j) = \sum_{j=1}^\infty \lambda_j h_j^*(u) g_j \end{aligned}$$

and

$$\begin{aligned} & \left\| (\lambda_j)_{j=1}^\infty \right\|_{p^*} \sup_{u \in B_H, g^* \in B_{G^*}} \left( \sum_{j=1}^\infty |(S^*(x_j^*)(u) \cdot g^*(R(y_j)))|^p \right)^{\frac{1}{p}} \\ &= \left\| (\lambda_j)_{j=1}^\infty \right\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^\infty |(x_j^*(S(u)) \cdot R^*(g^*)(y_j))|^p \right)^{\frac{1}{p}} \\ &= \|S\| \|R^*\| \left\| (\lambda_j)_{j=1}^\infty \right\|_{p^*} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^\infty \left| x_j^* \left( \frac{S(u)}{\|S\|} \right) \cdot \frac{R^*(g^*)}{\|R^*\|}(y_j) \right|^p \right)^{\frac{1}{p}} \\ &\leq \|S\| \|R\| (1 + \epsilon) \|T\|_{\sigma(p)}. \end{aligned}$$

Moreover

$$\begin{aligned} \lim_{m \rightarrow \infty} \sup_{u \in B_H, g^* \in B_{G^*}} \left( \sum_{j=m}^{\infty} |(S^*(x_j^*))(u) \cdot g^*(R(y_j))|^p \right)^{\frac{1}{p}} &\leq \\ \|S\| \|R\| \lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} &= 0. \end{aligned}$$

So  $RTS \in \mathcal{L}_{\sigma(p)}(H, G)$  and  $\|RTS\|_{\sigma(p)} \leq \|R\| \|T\|_{\sigma(p)} \|S\|$ . ■

**Remark 3.1.1** *By Proposition 3.1.2 and Proposition 2.1.1 the case  $p = 1$  recovers the Banach ideal of  $\sigma$ -nuclear linear operators.*

## 3.2 Some properties

This section is mainly based on [8].

Once  $(\mathcal{L}_{\sigma(p)}, \|\cdot\|_{\sigma(p)})$  is a Banach ideal linear operators, we have  $\mathcal{L}_f(E; F) \subseteq \mathcal{L}_{\sigma(p)}(E; F)$ , and

$$\|\lambda x^* \underline{\otimes} y\|_{\sigma(p)} = |\lambda| \|x^*\| \|y\|,$$

for all  $\lambda \in \mathbb{K}, x^* \in E^*, y \in F$ .

**Remark 3.2.1** *It follows from the definition that  $\mathcal{L}_f(E; F)$  is  $\|\cdot\|_{\sigma(p)}$ -dense in  $\mathcal{L}_f(E; F)$ .*

*Therefore the operator  $S = \sum_{j=1}^{\infty} \lambda_j x_j^* \underline{\otimes} y_j$  is approximable. since every  $S \in \mathcal{L}_f(E; F)$  has a*

*finite representation of the form  $S = \sum_{j=1}^m \lambda_j x_j^* \underline{\otimes} y_j$ .*

For  $S \in \mathcal{L}_f(E; F)$ , define

$$\|S\|_{\sigma(p)f} = \inf \left\{ \left\| (\lambda_j)_{j=1}^m \right\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^m |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \right\}.$$

Where the infimum runs over all finite representations  $S = \sum_{j=1}^m \lambda_j x_j^* \underline{\otimes} y_j$ .

**Remark 3.2.2** *It is easy to check that  $\|\cdot\|_{\sigma(p)f}$  is a norm on  $\mathcal{L}_f(E; F)$  such that  $\|\cdot\| \leq \|\cdot\|_{\sigma(p)f}$ . It follows that  $\|\cdot\|_{\sigma(p)f}$  is a complete norm on  $\mathcal{L}_f(E; F)$ . It is clear that  $\|\cdot\|_{\sigma(p)} \leq \|\cdot\|_{\sigma(p)f}$*

For further use, we shall establish conditions under which the equality holds

**Lemma 3.2.1** *If the norms  $\|\cdot\|_{\sigma(p)}$  and  $\|\cdot\|_{\sigma(p)f}$  are equivalent on  $\mathcal{L}_f(E; F)$  then they coincide on this space.*

**Proof.** By assumption there is a constant  $C > 0$  such that  $\|\cdot\|_{\sigma(p)f} \leq C \|\cdot\|_{\sigma(p)}$  on  $\mathcal{L}_f(E; F)$ . Given  $S \in \mathcal{L}_f(E; F)$  and  $\varepsilon > 0$ , take an infinite  $\sigma(p)$ -nuclear representations  $S = \sum_{j=1}^{\infty} \lambda_j x_j^* \underline{\otimes} y_j$

such that

$$\|(\lambda_j)_{j=1}^{\infty}\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \leq \left(1 + \frac{\varepsilon}{2}\right) \|S\|_{\sigma(p)}$$

In particular, for each  $m \in \mathbb{N}$ ,

$$\left\| \sum_{j=1}^{m-1} \lambda_j x_j^* \underline{\otimes} y_j \right\|_{\sigma(p)f} \leq \|(\lambda_j)_{j=1}^{m-1}\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^{m-1} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \leq \left(1 + \frac{\varepsilon}{2}\right) \|S\|_{\sigma(p)}.$$

Since,

$$\lim_{m \rightarrow \infty} \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} = 0,$$

for a sufficiently large  $m \in \mathbb{N}$  we get,

$$\begin{aligned} \left\| \sum_{j=m}^{\infty} \lambda_j x_j^* \underline{\otimes} y_j \right\|_{\sigma(p)} &\leq \|(\lambda_j)_{j=m}^{\infty}\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=m}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \\ &\leq \|(\lambda_j)_{j=1}^{\infty}\|_{p^*} \cdot \sup_{x \in B_E, y^* \in B_{F^*}} \left( \sum_{j=1}^{\infty} |x_j^*(x) y^*(y_j)|^p \right)^{\frac{1}{p}} \\ &\leq \frac{\varepsilon}{2C} \|S\|_{\sigma(p)} \end{aligned}$$

It follows that,

$$\begin{aligned}
 \|S\|_{\sigma(p)f} &= \left\| \sum_{j=1}^{m-1} \lambda_j x_j^* \otimes y_j + \sum_{j=m}^{\infty} \lambda_j x_j^* \otimes y_j \right\|_{\sigma(p)f} \\
 &\leq \left\| \sum_{j=1}^{m-1} \lambda_j x_j^* \otimes y_j \right\|_{\sigma(p)f} + \left\| \sum_{j=m}^{\infty} \lambda_j x_j^* \otimes y_j \right\|_{\sigma(p)f} \\
 &\leq \left(1 + \frac{\varepsilon}{2}\right) \|S\|_{\sigma(p)} + C \left\| \sum_{j=m}^{\infty} \lambda_j x_j^* \otimes y_j \right\|_{\sigma(p)} \\
 &\leq \left(1 + \frac{\varepsilon}{2}\right) \|S\|_{\sigma(p)} + \frac{\varepsilon}{2} \|S\|_{\sigma(p)} \\
 &= (1 + \varepsilon) \|S\|_{\sigma(p)}.
 \end{aligned}$$

And as this holds for every  $\varepsilon > 0$ , the result follows. ■

**Corollary 3.2.1** *Suppose that  $E$  is a finite-dimensional normed space. Then  $\|S\|_{\sigma(p)f} = \|S\|_{\sigma(p)}$  for every  $S \in \mathcal{L}(E; F)$ .*

**Proof.** In this case  $\mathcal{L}(E; F) = \mathcal{L}_f(E; F)$  and this is a complete space for both norms  $\|\cdot\|_{\sigma(p)f}$  and  $\|\cdot\|_{\sigma(p)}$ . By the isomorphisme theorem these norms are equivalent. The result follows from Lemma 3.2.1. ■

**Lemma 3.2.2** *If  $A \in \mathcal{L}_{\sigma(p)}(E; F)$  and  $T \in \mathcal{L}_f(D; E)$  then*

$$\|A \circ T\|_{\sigma(p)f} \leq \|A\|_{\sigma(p)} \|T\|.$$

**Proof.** Letting  $J : T(D) \rightarrow E$  be the formal inclusions and  $\tilde{T} : D \rightarrow T(D)$  be defined by  $\tilde{T}(u) = T(u)$ , we can write  $T = J \circ \tilde{T}$ . Since each  $T(D)$  is finite dimensional,

we have

$$\mathcal{L}_f(T(D); F) = \mathcal{L}_f(T(D); F) = \mathcal{L}_{\sigma(p)}(T(D); F).$$

So,

$\mathcal{L}_f(T(D); F)$  is complete with both norms  $\|\cdot\|_{\sigma(p)}$  and  $\|\cdot\|_{\sigma(p)f}$ . By the inequality  $\|\cdot\|_{\sigma(p)} \leq \|\cdot\|_{\sigma(p)f}$  and the open mapping theorem we conclude that these norms are

equivalent on  $\mathcal{L}_f(T(D); F)$ . By Lemma 3.2.1 we get

$$\begin{aligned} & \| A \circ J \|_{\sigma(p)f} = \| A \circ J \|_{\sigma(p)} \\ & \leq \| A \|_{\sigma(p)} \| J \| \\ & = \| A \|_{\sigma(p)} \end{aligned}$$

from which it follows that

$$\begin{aligned} & \| A \circ T \|_{\sigma(p)f} = \| A \circ J \circ \tilde{T} \|_{\sigma(p)f} \\ & \leq \| A \circ J \|_{\sigma(p)f} \cdot \| \tilde{T} \| \\ & = \| A \|_{\sigma(p)} \| T \| . \end{aligned}$$

This completes the proof. ■

Now, we recall Banach spaces, which have the approximation property.

Let  $E$  be a Banach space and let  $1 \leq \gamma < \infty$ . We say that  $E$  has the  $\gamma$ -bounded approximation property if, for every compact subset  $K \subset E$  and every  $\varepsilon > 0$ , there is a finite rank operator  $T \in \mathcal{L}_f(E, E)$  such that

$$\|x - Tx\| \leq \varepsilon,$$

for all  $x \in K$  and  $\|T\| \leq \gamma$ .

A Banach space is said to have the *bounded approximation property* if it has  $\gamma$ -bounded approximation property for some  $\gamma$ . A Banach space is said to have the *metric approximation property* if it has 1-bounded approximation property.

**Proposition 3.2.1** *If  $E^*$  have the bounded approximation property, then  $\|\cdot\|_{\sigma(p)f} = \|\cdot\|_{\sigma(p)}$  on  $\mathcal{L}_f(E, F)$  regardless of the Banach space  $F$ .*

**Proof.** Let  $\gamma \geq 1$  be such that  $E^*$  has the  $\gamma$ -bounded approximation property. Given  $A \in \mathcal{L}_f(E, F)$ , Given  $\epsilon > 0$ , by [17, Lemma 10.2.6] there exists  $T \in \mathcal{L}_f(E, F)$ , such that

$$\|T\| \leq (1 + \epsilon)\gamma$$

and

$$A \circ T = A$$

By 3.2.2 we have

$$\begin{aligned} \|A \circ T\|_{\sigma(p)f} &= \|A \circ T\|_{\sigma(p)f} \\ &\leq \|A\|_{\sigma(p)} \|T\| \\ &\leq (1 + \epsilon)\gamma \|A\|_{\sigma(p)f} \end{aligned}$$

Letting  $\epsilon \rightarrow 0$  we get

$$\|A\|_{\sigma(p)f} \leq \gamma \|A\|_{\sigma(p)}$$

The result follows from by 3.2.1. ■

### 3.3 Factorization theorem, surjective hull of $\sigma(p)$ -nuclear linear operators

We give the factorization theorem, for its proof we use the same technique in Theorem 2.2.1 (see also, [14, 1.6.2 Teorema] for  $n = 1$ ).

**Theorem 3.3.1** *Let  $1 < p < \infty$  and  $T \in \mathcal{L}(E, F)$ . An operator  $T$  is  $\sigma(p)$ -nuclear if and only if, the following diagram commutes*

$$\begin{array}{ccc} E & \xrightarrow{T} & F \\ A \downarrow & \nearrow & B \\ U & & \end{array}$$

where,  $A \in \overline{\mathcal{L}_f(E, U)}$ ,  $B$  diagonal and  $U$  is a Banach space having a hyperorthogonal basis  $(e_i)_{i=1}^{\infty}$ , with  $B(e_i) = \lambda_i y_i$ ,  $(\lambda_i)_{i=1}^{\infty} \in \ell_{p^*}$  and  $y_i \in F$  such that  $(\tau_i y_i)_{i=1}^{\infty} \in \ell_p^u(F)$  for  $\tau = \sum_{i=1}^{\infty} \tau_i e_i \in U$ . And we have  $\eta_{\sigma(p)}^L(T) = \inf \|B\| \cdot \|B\|$  where the infimum is taken over all possible factorizations.

Let  $1 \leq p \leq \infty$ ,  $1 \leq r \leq p^*$ . The  $(p, r)$ -convex hull of a sequence  $(x_n)_n \in \ell_p(E)$  is defined as

$$(p, r)\text{-conv}\{(x_n)_n\} = \left\{ \sum_{n=1}^{+\infty} \alpha_n x_n : (\alpha_n)_n \in B_{\ell_r} \right\}.$$

A subset  $K$  of a Banach space  $E$  is relatively  $(p, r)$ -compact (see [6]) if and only if for every  $\epsilon > 0$ , there exists a sequence  $(x_j)_j \in \ell_p(E)$  such that

$$K \subset \left\{ \sum_{n=1}^{+\infty} \alpha_n x_n : (\alpha_n)_n \in B_{\ell_r} \right\}.$$

for some  $(x_n)_n \in \ell_p(E)$  ( $(x_n)_n \in c_0(E)$  when  $p = \infty$ ).

**Definition 3.3.1** [6] Let  $1 \leq p \leq \infty$ ,  $1 \leq r \leq p^*$ . A linear operator  $T : X \rightarrow Y$  is  $(p, r)$ -compact if  $T(B_E)$  is a relatively  $(p, r)$ -compact subset of  $Y$ .

Denote the class of all  $(p, r)$ -compact operators acting between arbitrary Banach spaces by  $\mathcal{K}_{(p,r)}$ .

**Remark 3.3.1** It is clear that  $\mathcal{K}_{(\infty,1)} = \mathcal{K}$ ,  $\mathcal{K}_{(p,p^*)} = \mathcal{K}_p$ .

**Proposition 3.3.1** Let  $1 \leq p, q, r < \infty$  such that  $\frac{1}{q^*} = \frac{1}{p} + \frac{1}{r}$ ,  $r \leq p^*$  and  $p \leq q$ . Then  $\left[ \mathcal{K}_{(p,r)}(E, F), \|\cdot\|_{\mathcal{K}_{(p,r)}} \right] \subset \left[ \mathcal{N}_{\sigma(q^*)}(E, F), \|\cdot\|_{\sigma(q^*)} \right]^{sur}$ .

**Proof.** Let  $T \in \mathcal{K}_{(p,r)}(E, F)$  and let  $\epsilon > 0$ . Then by Lemma 1.3.1, there exists a null sequence  $(y_j)_j$  in  $\ell_p(F)$  with

$$\|(y_j)_j\|_p \leq (1 + \epsilon) \|T\|_{\mathcal{K}_{(p,r)}}$$

such that

$$T(B_E) \subset \left\{ \sum_{j=1}^{\infty} a_j y_j : (a_j)_j \in B_{\ell_r} \right\}.$$

Let us consider the map  $R : \ell_r \rightarrow F : \alpha \mapsto R(\alpha) = \sum_{i=1}^{\infty} e_i^*(\alpha) y_i = \sum_{i=1}^{\infty} \|(y_j)_j\|_p e_i^*(\alpha) \frac{y_i}{\|(y_j)_j\|_p} = \sum_{i=1}^{\infty} \lambda_j e_i^*(\alpha) \frac{y_i}{\|(y_j)_j\|_p}$ , where each  $e_j$  is the standard unit vector in  $c_0$ . As  $\ell_p \subset \ell_q$

Then  $R$  has a  $\sigma(q^*)$ -representation,

$$\begin{aligned} \sup_{\alpha \in B_{\ell_r}, y^* \in B_{F^*}} \left( \sum_{j=1}^{\infty} |e_j^*(\alpha) y^*(y_j)|^{q^*} \right)^{\frac{1}{q^*}} &\leq \sup_{\alpha \in B_{\ell_r}} \left( \sum_{j=1}^{\infty} |\alpha_j|^r \right)^{\frac{1}{r}} \left( \sum_{j=1}^{\infty} \|y_j\|^p \right)^{\frac{1}{p}} \\ &= \|(y_j)_j\|_p \end{aligned}$$

and

$$\|R\|_{\sigma(q^*)} \leq \|(y_j)_j\|_p \leq (1 + \epsilon) \|T\|_{\mathcal{K}_{(p,r)}}$$

Since  $T(B_E) \subset R(B_{\ell_r}) = \left\{ \sum_{j=1}^{\infty} a_j y_j : (a_j)_j \in B_{\ell_r} \right\}$ , by Lemma 2.3.1,  $T \in \mathcal{N}_{\sigma(q^*)}^{sur}(E, F)$  and

$$\|T\|_{\mathcal{N}_{\sigma(q^*)}^{sur}} \leq \|R\|_{\mathcal{N}_{\sigma(q^*)}} \leq (1 + \epsilon) \|T\|. \quad \blacksquare$$

## Conclusion

The concept of  $\sigma$ -nuclear linear operators was generalized to  $\sigma(p)$ -nuclear linear operators for  $p \geq 1$ . The class of  $\sigma(p)$ -nuclear linear operators is a Banach ideal, in particular the class of  $\sigma$ -nuclear linear operators is a Banach operator ideal. Also, under usual conditions on the underlying spaces, a simpler formula for the  $\sigma(p)$ -nuclear norm of a finite type operator. Also, we obtain a factorization of operators belonging to  $\overline{\mathcal{L}_f}$ . The surjective hull and the injective hull of  $\sigma(1)$ -nuclear linear operators coincide with the ideal of compact operators. But, in the case  $p > 1$  until now, we don't know the answer, we have the following "  $\mathcal{K}_{(p,r)}$  the ideal of  $(p,r)$ -compact operators is included in  $\mathcal{N}_{\sigma(q^*)}^{sur}$  ".

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## الملخص .

الهدف من هذا العمل هو دراسة تفصيلية لمثاليات باناخ للمؤثرات الخطية المستمرة  $\sigma(p)$  نيكليار المعرفة بين فضاءات باناخ. نقدم مناقشة لبعض خصائص هذا المثالي وتفكيك هذه المثالبفئات المؤثرين المقربة .

الكلمات المفتاحية: مثالي المؤثرات الخطية، مؤثر متراص، متتاليات باناخ  $p$  جمعية غير المشروطة، مؤثرات مقربة، مؤثرات  $\sigma(p)$  نيكليار.

## Abstract.

The aim of this work is a detail study of the Banach ideal of  $\sigma(p)$ -nuclear linear operators, between Banach spaces. We present a discussion of some properties and factorized this ideal by approximable operators.

Keywords: Linear operator ideals, compact operator, unconditionally  $p$ -summable sequences, approximable operators, sigma nuclear.

## Résumé.

Le but de ce travail est d'étudier en détail les idéaux d'opérateurs  $\sigma(p)$  nucléaires entre espaces de Banach. Nous présentons une discussion de quelques propriétés, et le théorème de factorisation de cet idéal par des opérateurs approximables.

Mots clés: L'idéal linéaire, Opérateur compact, Suite inconditionnelle  $p$ -sommable, Opérateur approximable, sigma nucléaire